



CANCER PREVENTION &
RESEARCH INSTITUTE OF TEXAS

REQUEST FOR APPLICATIONS

RFA R-11-RCO-1

Company Recruitment, Relocation, and Formation

2010–2011

Fiscal Year Award Period
September 1, 2010 — August 31, 2011

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RFA VERSION HISTORY

Rev 9/1/10 RFA release

1. ABOUT CPRIT

The State of Texas has established the Cancer Prevention and Research Institute of Texas (CPRIT); CPRIT may issue \$3 billion in general obligation bonds over 10 years to fund grants for cancer research and prevention.

CPRIT is charged by the Texas Legislature to:

- Create and expedite innovation in the area of cancer research, thereby enhancing the potential for a medical or scientific breakthrough in the prevention of cancer and cures for cancer;
- Attract, create, or expand research capabilities of public or private institutions of higher education and other public or private entities that will promote a substantial increase in cancer research and in the creation of high-quality new jobs in this State; and
- Continue to develop and implement the Texas Cancer Plan by promoting the development and coordination of effective and efficient statewide public and private policies, programs, and services related to cancer and by encouraging cooperative, comprehensive, and complementary planning among the public, private, and volunteer sectors involved in cancer prevention, detection, treatment, and research.

2. EXECUTIVE SUMMARY

CPRIT will foster the creation of high-quality new jobs in Texas by providing financial support for a wide variety of projects relevant to cancer. The award program described in this request for applications (RFA) is designed to attract and establish a product development infrastructure in Texas. CPRIT expects outcomes of supported activities to directly and indirectly benefit subsequent cancer research efforts, cancer public health policy, or the continuum of cancer care—from prevention to treatment and cure. To fulfill this vision, applications may address any product development topic or issue related to cancer biology, causation, prevention, detection or screening, treatment, or cure. The overall goal of this award program is to improve cancer patient outcomes by increasing the availability of FDA-approved therapeutic interventions with a primary focus on Texas-centric programs.

3. RECRUITMENT OBJECTIVES

The State of Texas seeks to attract industry partners in the field of cancer care to advance economic development and cancer care efforts in the State. The goal of this award mechanism

is twofold: (i) To support the formation and establishment of new startup companies in Texas that will develop products to significantly impact cancer care, and (ii) To recruit to Texas companies with proven management teams who are focused on exceptional product opportunities to improve cancer care. These existing companies must presently be domiciled outside Texas and must be willing to relocate to and remain in Texas for a specified period upon funding.

4. FUNDING INFORMATION

This is a 5-year funding program with an opportunity for renewal after the term expires. Financial support will be awarded based upon the breadth and nature of the development program proposed. While requested funds must be well justified, there is no limit on the amount that may be requested. Funds may not be used to construct or renovate laboratory or office space. Consistent with statutory mandate, the recipient company must demonstrate that it has funds equivalent to one-half of the total funding amount dedicated to cancer research. The commitment can be made on a year-by-year basis. Funding from other sources brought by the recruited or newly formed company may also be counted toward the amount necessary for the matching commitment.

5. KEY DATES

RFA

RFA release	September 1, 2010
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Application

Online application opens	September 1, 2010, 7 a.m. Central Time
Application due	None; continuous receipt
Application review	As necessary

Award

Award notification	As necessary
Anticipated start date	Negotiable

6. ELIGIBILITY

- Applicants may be a new startup company in Texas.
- Existing companies must presently be located outside Texas and must be willing to relocate to and remain in Texas for a specified period upon funding.
- CPRIT grants will be awarded by contract to successful applicants. Certain contractual requirements are mandated by Texas law or by administrative rules. Although applicants need not demonstrate the ability to comply with these contractual requirements at the time the application is submitted, applicants should make themselves aware of these standards before submitting an application. Significant issues addressed by the CPRIT contract are listed in Section 9. All statutory provisions and relevant administrative rules can be found at www.cpritis.state.tx.us.

7. APPLICATION REVIEW

Applications will be assessed based on evaluation of the quality of the candidate company and the potential for continued product development. CPRIT will require the submission of a detailed business plan and a comprehensive research plan (see Sections 8.3.4 and 8.3.5). The business and research plans will be reviewed by CPRIT's Commercialization Review Council and by a scientific research committee for commercial viability, product feasibility, scientific merit, and therapeutic impact.

Applications submitted in response to this RFA will be reviewed on a continuous basis by CPRIT. No annual limits on the number of potential award recipients have been set. Each application review is conducted completely confidentially, and all council and committee members are required to sign nondisclosure statements regarding the contents of the applications. All members will operate under strict conflict of interest prohibitions. Under no circumstances should personnel from an applicant organization initiate contact with any member involved in the review process (with the exception of CPRIT staff) regarding the status or substance of the application. Violations of this prohibition will result in the administrative withdrawal of the application.

8. SUBMISSION GUIDELINES

8.1. Online Submission

Applications will be accepted beginning at 7 a.m. Central Time on September 1, 2010 and must be submitted via the CPRIT Application Receipt System (<https://CPRITGrants.org>). **Only applications submitted at this portal will be considered eligible for evaluation.** Submission of an application is considered an acceptance of the terms and conditions of the RFA.

8.2. Application Submission Deadline

This mechanism is not governed by an application deadline. Applications will be reviewed on a continuous basis.

8.3. Application Components

Applicants are advised to follow all instructions to ensure accurate and complete submission of the online application.

8.3.1. Contact Information

Provide all required company identification information.

8.3.2. Organizational Commitment (2 pages)

Describe the organizational commitment of the company to the State of Texas. This information should be supplied in the form of a letter signed by the company's president, vice president, chief executive officer, or appropriate senior manager.

8.3.3. Executive Summary of Business Plan (3 pages)

Provide a concise and comprehensive summary of the business plan.

8.3.4. Business Plan (25 pages)

For the development of product(s) for which CPRIT support is requested, provide a business plan which includes a description of: (i) the business objective(s); (ii) the product(s) and market(s); (iii) milestones and anticipated cost for each; (iv) intellectual property; (v) financial projections; (vi) regulatory plan(s); (vii) risk analysis; (viii) the founders, management team, and key professionals; (ix) resources required (both product development and relocation expenses); and (x) appendix of supporting documents.

8.3.5. Research Plan (10 pages)

The research plan should describe: (i) the scientific basis for the product(s), including feasibility studies and results; (ii) experimental design, including methods and anticipated results; (iii) potential problems or pitfalls; and (iv) alternative approaches. Preliminary data and results are encouraged. The plan should also include an outline of anticipated major milestones tracked in the proposed program.

8.3.6. Budget

Provide a detailed budget, including salaries and benefits, supplies, equipment, patient care costs, animal care costs, product development costs, relocation expenses, and other expenses. The budget may be provided in any format, provided that it is clear and concise. A specific form/template is not required.

8.3.7. Current and Pending Support

Describe all funding sources, including complete capitalization table.

8.3.8. Descriptive Biography (1 page)

Provide a brief descriptive biography of the company and the scope and goals of the proposed product development infrastructure. If the application is approved for funding, this section will be included in the award contract and will be publicly available on CPRIT's website. Companies are advised not to include information that they consider confidential or proprietary when preparing this section.

Applications that are missing one or more of these components, exceed the specified page limits, or do not meet the eligibility requirements listed above will be administratively rejected without review.

9. AWARD ADMINISTRATION

Texas law requires that CPRIT research awards be made by contract between the applicant and CPRIT. Texas law specifies several components that must be addressed by the award contract, including needed compliance and assurance documentation, budgetary review, terms relating to intellectual property rights and revenue sharing, and conducting the work funded by CPRIT in Texas with Texas-based employees. The contract will include mandatory reimbursement terms and conditions should the recipient relocate outside of the State during the term of the award contract or within 3 years after the final payment is made by CPRIT. As mandated by State law,

indirect costs are limited to a maximum of 5% of the total award. These contract provisions are specified in CPRIT's administrative rules, which are available at www.cprit.state.tx.us.

All CPRIT awards made under this RFA are not transferable.

CPRIT requires award recipients to submit a semi-annual progress report. These reports summarize the progress made toward product development goals and address plans for the upcoming year. In addition, CPRIT will retain the right to appoint an Observer to the Board of Directors. Continuation of funding is contingent upon meeting product development milestones. Forms and instructions will be made available at www.cprit.state.tx.us.

10. CONTACT INFORMATION

10.1. HelpDesk

HelpDesk support is available for questions regarding online submission of applications. Queries submitted via e-mail will be answered within 1 business day. HelpDesk staff are not in a position to answer questions regarding scientific aspects of applications.

Dates of Operation: Available starting September 1, 2010 (excluding public holidays)

Hours of Operation: Monday through Friday, 8 a.m. to 5 p.m. Central Time

Tel: 866-941-7146

E-mail: ResearchHelp@CPRITGrants.org

10.2. Commercialization Programmatic Questions

Questions regarding the CPRIT program, including questions regarding this or other funding opportunities, should be directed to the CPRIT Commercialization Review Office:

Tel: 512-305-8484

E-mail: ResearchHelp@CPRITGrants.org

Web: www.cprit.state.tx.us